

Asset Management Services

Customized asset management services incorporating effective diversification and risk management strategies

Our group of experienced financial professionals operates with the technology and resources of a well-established financial services company—coupled with a commitment to personal attention and superior service every step of the way. Our disciplined, integrated approach creates a proven framework to help you work toward your investment objectives.

What Clients Can Expect From Us

Asset management and risk management go hand in hand to ensure a successful investment portfolio strategy. You can expect us to analyze and review your investment objectives and risk tolerance on an ongoing basis. As part of an effective overall asset allocation strategy, we will develop a plan for you that coordinates all accounts. Our goal is to help you properly allocate your assets based on your risk tolerance and investment objectives, using sound financial investment principles and risk-managed strategies.

Services & Benefits

Our investment philosophy is based on taking responsible, long-term care of your assets through proven strategies that focus on reducing downside risk. We achieve this through a 4-step process:

1. Diversification

We utilize the safety of multiple-asset classes to protect against a highly concentrated position in any individual asset. Through managed asset accounts and mutual funds, we provide exposure to asset classes with 50 to 150 securities.

2. Asset Allocation

The overall allocation reflects all the details that make your financial situation unique—your current situation and income expectations, income tax and capital gains considerations, future time horizon, feelings related to investments and tolerance for risks, constraints, family dynamics, and needs for liquidity. Our valuable knowledge greatly reduces your vulnerability of making emotionally involved financial decisions.

3. Rebalancing

We use a disciplined approach to consistently monitor your asset allocation and assure that your investments are always allocated proactively and never

by default. We suggest rebalancing once an asset class is out of balance from the original allocation. More stringent and personal criteria are also considered and implemented.

4. Correlation Analysis

Our philosophy limits downside volatility. In our experience, investors strongly prefer less volatile annual returns for their serious investment dollars. We add important “shock absorbers” to your portfolio through a correlation analysis procedure that controls three risks: excessive overlap of assets, excessive conflicts between money managers, and a lack of checks and balances.

We provide investment reviews to determine the inter-relationship of all investments. The end result is a sound, balanced investment portfolio.

You Can Count On Us To:

- Maintain a high degree of communication and personal service
- Respond quickly to your requests
- Assist you in keeping your investment goals on target as changes occur. We provide lifetime services and products—flexible, open, fee-based platforms to provide the best strategy at any given time in your career and life cycle.
- Respect your privacy. Above all, we value your trust and keep all information that we collect strictly confidential.

Value Added Advantages

In essence, we work comprehensively with our clients to give you the tools you need to reach your goals—we measure our success by how well we can help you achieve yours. Our asset management services are fee or commission based depending on the type of investment. We will, of course, discuss fees and commissions with you before you make any investment decisions.

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